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PATENT Customer No. 22,852 Attorney Docket No. 4853.0108-00000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	Application of:	
Yoshi	hide MATSUO	) Group Art Unit: 1655
Applic	cation No.: 10/767,260	) ) Examiner: S.C. SRIVASTAVA
Filed:	January 30, 2004 CIP of PCT/JP03/08840	) Confirmation No.: 6256 )
For:	NOVEL CHEMICAL SUBSTANCE HAVING MORPHOGENIC AND GROWTH-ACCELERATING ACTIVITIES	) ) ) )

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached form SB/08. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Copies of the listed foreign patents documents and non-patent literature documents are attached. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

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documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: August 31, 2006

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## Complete if Known Form PTO/SB/08: Substitute for form 1449A/PTO Application Number 10/767,260 NFORMATION DISCLOSURE Filing Date January 20, 2004/CIP of PCT/JP03/08840 First Named Inventor Yoshihide MATSUO STATEMENT BY APPLICANT Art Unit 1655 (Use as many sheets as necessary) Examiner Name K.C. SRIVASTAVA Attomey Docket Number 4853.0108-00000

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS						
Examiner Initials	Cite	Document Number Issue or		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	No.	Number-Kind Code (if known) Publication Date MM-DD-YYYY				
		US-				
	-	US-				
		US-			·	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document  Country Code Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
	1	PCT/JP03/08840	01/22/2004	Marine Biotechnol. Inst. Co. Ltd. et al.	Entire application	No; as- filed priority application
	2	JP 2003-189845	08/07/2003	Marine Biotechnol. Inst Co. Ltd. et al.	Pages 336-346	Abstract translated

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation		
	3	MATSUO, Y., Ishida, R., Matsumoto, T., Tatewaki, M., Suzuki, M. (1997) Yendolipin, a Novel Lipobetaine with an Inhibitory Activity Toward Morphogenesis in Foliaceous Green Alga Monostroma oxyspermum. Tetrahedron 53:869-876; especially p. 869, second paragraph.			
	4	MATSUO, Y., Suzuki, J., Kasai, H., Shizuri, Y., Harayama, S. (2003) Isolation and Phylogenetic Characterization of Bacteria Capable of Inducing Differentiation in the Green Alga Monostroma Oxyspermum 51:25-35.			
	5	TSUJIMORI, J., Mori, K. (2001) Synthesis of the Racemate of the Stereoisomer at C-6a of BE-40644, a Bioactive Metabolite of Actinoplanes sp. with a Sesquiterp; ene-substituted p-Benzoquinone Structure. Biosci. Biotechno. Biochem. 65:167-171; especially p. 167, scheme 1.			
	6	SHIZURI, Y., Matsuo, Y. (2002) Natural Products from Marine Bacteria and Marine Organisms; Algal Morphogenesis Induced by Marine Bacteria. <i>Japanese Society of Phycology</i> 50:20 (abstract).			

Examiner	 Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.